JOINT WMO TECHNICAL PROGRESS REPORT ON THE GLOBAL DATA PROCESSING AND FORECASTING SYSTEM AND NUMERICAL WEATHER PREDICTION RESEARCH ACTIVITIES FOR 2016

Central Institute for Meteorology and Geodynamics, Austria

1. Summary of highlights

One of the main focal points in 2016 was the continuation of the development of an NWP based nowcasting system including an hourly 3DVAR assimilation system using the AROME model. The new nowcasting system is designed to support the current conventional nowcasting system INCA in the future and help to increase the forecast quality within the first 1 to 6 hours. In addition major effort was put into the preparation of an AROME based ensemble system with a main focus on an improved representation of the model physics uncertainty by extending the current stochastic physics scheme in AROME.

The INCA system itself has been further revised with respect to improved simulation of orographically enhanced precipitation, supported also by the use of radar volume data. An updated version of probabilistic precipitation analysis and nowcasts has been implemented which takes into account scale-dependant uncertainty of radar and raingauge-derived precipitation estimates. The INCA system has been further refined by increasing horizontal resolution from 1km up to 100m (for wind and temperature) which is especially of high impact in complex terrain.

2. Equipment in use

Computers used for the forecasting system are SGI and several Linux clusters for post-processing purpose:

| Computer | RAM Memory | Storage |
|-----------------------------------|--------------------|---------|
| SGI ICE X (252 nodes a 16 cores) | 8 TB / 32 per node | 120 TB |
| 10 Linux (a 8-32 cores) for post- | 8 - 32GB | 100 TB |
| processing | | |

2. Data and Products from GTS in use

- SYNOP
- TEMP
- AMDAR
- SHIP
- FAX
- GRIB
- BUFR

4. Forecasting system

4.1 System run schedule and forecast ranges

At ZAMG, two deterministic short range forecast models and one limited area ensemble prediction systems are operated: ALARO (5km horizontal resolution, 4 runs per day) and AROME (2.5km, 8 runs per day), LAEF (11km, 2 runs per day)

ALARO (5km, 60 Levels):

| Suite | Analysis time + forecast range | Product availability |
|-------|--------------------------------|----------------------|
| 1 | 00UTC + 72 h | 2:45 UTC |
| 2 | 06UTC + 72 h | 8:45 UTC |
| 3 | 12UTC + 72 h | 14:45 UTC |
| 4 | 18UTC + 72 h | 20:45 UTC |

AROME (2.5km, 90 Levels):

| Suite | Analysis time + forecast range | Product availability |
|-------|--------------------------------|----------------------|
| 1 | 00UTC + 60 h | 03:30 UTC |
| 2 | 03UTC + 60 h | 06:30 UTC |
| 3 | 06UTC + 60 h | 09:30 UTC |
| 4 | 09UTC + 60 h | 12:30 UTC |
| 5 | 12UTC + 60 h | 15:30 UTC |
| 6 | 15UTC + 60 h | 18:30 UTC |
| 7 | 18UTC + 60 h | 21:30 UTC |
| 8 | 21UTC + 60 h | 00:30 UTC |

LAEF (11km, 47 Levels):

| Suite | Analysis time + forecast range | Product availability |
|-------|--------------------------------|----------------------|
| 1 | 00 UTC + 72 h | 04:00 UTC |
| 2 | 12 UTC + 72 h | 16:00 UTC |

4.2 Medium range forecasting system (4-10 days)

4.3 Short-range forecasting system (0-72 hrs)

4.3.1 Data assimilation, objective analysis and initialization

Within the ALARO system at ZAMG, the initialisation for surface fields is done using an Optimal Interpolation method (called CANARI) for soil assimilation (soil temperature and soil moisture) by assimilating 2m relative humidity and 2m temperature observations from GTS synop stations and from the Austrian high density observation network TAWES. No assimilation is performed for upper air fields, so the initial conditions are provided by the IFS HRES model.

For AROME, an incremental 3D-Var system is used to create initial state of 3D atmospheric variables. The background error matrix B was defined by using 78 downscaled ensemble forecast differences of the Austrian ensemble system LAEF. For surface, as it is the case for ALARO, an Optimum Interpolation method is used to initialize surface fields.

| Observation type | assimilated fields | Data source |
|-----------------------------|----------------------|-------------|
| SYNOP+TAWES | T2m,RH2m,U10m,V10m,f | ZAMG+OPLACE |
| AMDAR (aircraft) | U,V,T | ZAMG+OPLACE |
| GEOWIND (SAT-winds) MSG3 | U,V | OPLACE |
| TEMP (radio soundings) | U,V,T,Q,f | ZAMG+OPLACE |
| PILOT | U,V | ZAMG |
| MSG2->MSG3-SEVIRI | WV-radiances | OPLACE |
| NOAA16/18/19+MetOp- A-B | radiances | OPLACE |

| AMSU-A,-B,MHS,HIRS | | |
|---------------------------------------|-----------------------------|-----------------------|
| MetOp-A IASI | radiances | OPLACE |
| | | |
| ASCAT 10m sea winds | U10m,V10m (25km) | ZAMG/EUMETSAT |
| GPS [test mode] | zenith total delay (ZTD) | TU-Vienna, EGVAP |
| RADAR [test mode] | Reflectivity, doppler winds | AustroControl |
| Lake surface temperatures [test mode] | TS_WATER in OIMAIN | Hydrological services |
| MODIS-snow cover [test mode] | snow yes/no | ENVEO-CRYOLAND |

Table 1: Observations entering AROME assimilation system

The use of GPS and Radar is not yet part of the operational AROME runs at ZAMG. The Transformation of the model variables to satellite radiances and vice versa is done by using the radiative transfer model RTTOV.

4.3.2 Model

4.3.2.1 In operation

ALARO:

The limited area model ALARO is a further development of the ALADIN model for horizontal resolutions around 5km and higher. ALARO is being developed within the international numerical weather prediction project ALADIN and RC LACE. The main differences between ALARO and its precursor ALADIN can be found in the model physics, whereas convection, microphysics, turbulence and radiation should be named in the first place. In order to handle convection properly on horizontal scales around 5km, the prognostic convection scheme called 3MT (Gerard et. al. 2009) was developed. In ALARO cloud condensate originating from resolved condensation processes and condensate produced in the convection scheme are combined before they enter the microphysical part in the model. Beside convection and microphysics several further changes are planned for ALARO, mainly in the radiation scheme and in the turbulence parameterization major developments are to be expected to enter the operational system soon.

ALARO is used in operational mode at ZAMG since March 2011, while the system has undergone several updates recently. It runs on a horizontal resolution of 4.8km and uses 60 levels in the vertical. The model is run four times per day, whereas the integration is performed up to 72 hours lead time. ALARO (the configuration run at ZAMG is also named ALARO5-AUSTRIA) is coupled to the global IFS HRES model of ECMWF. For ALARO5-AUSTRIA, the initial state for the free atmosphere is provided by interpolation of the IFS model fields to 4.8km model grid. A surface assimilation system is run at ZAMG and fed with SYNOP and high-density TAWES (Semi-automatic weather stations in Austria) data to produce the initial state for the surface fields using an optimum interpolation method. Details about the model characteristics can be also read from Table 2.

| Horizontal resolution: | 4.8 km |
|------------------------|--|
| Number of levels: | 60, pressure-based, hybrid coordinate |
| Number of grid points: | 600 x 540 |
| Time-step: | 180 sec, 2-time-level SLSI time integration |
| Coupling model: | IFS (time lagged) |
| Coupling frequency: | 3 hours, Davies-Kallberg relaxation scheme |
| Forecast range: | 72h |
| Output every: | 1 hour |
| Physics: | ALARO-0 physics (prognostic large scale cloud and precipitation scheme + prognostic convection scheme 3MT, pseudo-prognostic |

Orography: Grid: Initialization: TKE scheme, etc.), Boer-type scheme of gravity wave drag; ISBA surface scheme; mean linear OI for surface, IFS for upper air (DFI applied)

Table 2: ALARO model characteristics

AROME:

The non-hydrostatic spectral convection permitting limited area model AROME (Applications of Research to Operations at Mesoscale, Seity et al. 2011) is especially designed to run on very high resolutions with 2.5km grid space and beyond. At ZAMG it was set to operations by the end of 2013, after an intense testing and evaluation phase. It uses the non-hydrostatic dynamical core developed by Bénard et al. 2009. The Turbulence scheme is a 1.5 order 1D prognostic TKE scheme by Cuxart et al. 2010. Deep convection is treated explicitly, while shallow convection is parameterised with a massflux approach (Pergaud et al. 2009). The one moment bulk microphysics scheme ICE3 (Pinty and Jabouille 1998) can handle mixing ratios of five prognostic hydrometeor classes: cloud water, cloud ice, rain, snow and graupel and simulates also complex interactions between them. Different to ALADIN and ALARO, AROME uses by default a three layer soil model SURFEX with a tile approach including also parameterisations of town and sea effects. The radiation scheme follows the approaches of the European Centre for Medium Range Forecast (ECMWF) by Morcrette (1991) and Mlawer et al. (1997). Orographic effects (reduced sky view, slope angle, orographic shadowing) on surface radiation fluxes have been recently added. Major upgrades of the Austrian AROME version including changes in model domain, physics and dynamics setup have been performed in 2016.

| Horizontal resolution: Number of levels: Number of grid points: Time-step: | 2.5 km 90, pressure-based, hybrid coordinate 600 x 320 60 sec. 2-time-level SLSI time integration |
|---|--|
| Coupling model: | IFS (time lagged) |
| Coupling frequency: | 1 hour, Davies-Kallberg relaxation scheme |
| Forecast range: | 60h |
| Output every: | 1 hour |
| Physics: | AROME/MESO-NH |
| Orography: | mean |
| Grid: | linear |
| Initialization: | OI for surface, 3DVAR for upper air |
| | |

Table 3: AROME model characteristics

4.3.2.2 Research performed in this field

In 2016, the main work was dedicated to the development of an AROME nowcasting version which is planned to become operational as a complement to the current operational AROME forecasting system (Table 3).

The key characteristics of the AROME nowasting system can be summarized as:

- hourly rapid update assimilation system (3DVAR) followed by 12 hour forecasts
- lateral coupling to operational AROME system
- 25min cut-off time
- additional observations in assimilation: radar reflectivity and radial winds, Mode-S and GNSS ZTD
- latent heat nudging of INCA 2D precipitation analysis included

- initial condition for surface from operational AROME
- horizontal resolution 2.5km, will be increase to 1.2km in 2018

First results with the AROME nowcasting system show rather promising results). The system is expected to reach pre-operational phase in 2018.

4.3.3 Operationally available NWP products

4.3.4 Operational techniques for application of NWP products

4.3.4.1 In operation

A so-called 'META'-forecast system is in operation for short and medium range forecasts. It utilizes the output of global and limited-area models (ECMWF, ALARO, AROME, GME, COSMO, UKMO, GFS) available at ZAMG to generate bias-corrected, optimized, weighted point forecasts of standard meteorological quantities at surface station locations. It has been extended to further NWP models and a regime-dependant experimental set up has been implemented.

4.3.4.2 Research performed in this field

Ensemble systems aim at representing a range of possible future states of the atmospheric system. However, ensemble forecasts still tend to be underdispersive and biased. Thus, they should be post-processed with statistical models such as the nonhomogenous Gaussian regression (NGR, Gneiting et al. 2005). For temperature, NGR returns a Gaussian predictive density distribution where the location and scale parameters depend on the raw ensemble.

Following Scheuerer and König (2014), the original NGR approach is modified using local observation and forecast anomalies to remove site-specific characteristics. Therefore, a single model can be fitted for all available stations simultaneously. Observation and forecast anomalies are calculated by subtracting site-specific climatological means from the observations and forecasts, respectively. Thus, the improvement of the calibrated ensemble system strongly depends on the quality of climatological means.

The method is implemented for a multi-model ensemble consisting of the LAEF (Limited Area Ensemble Forecasting) System and high resolution deterministic forecasts, and adapted for gridded 2 m temperature forecasts. As observational background the 1 km gridded INCA 2 m temperature analyses were used taking advantage of calibrating the ensemble data with highly resolved observations.

4.3.5 Ensemble Prediction System

4.3.5.1 In operation

The operational LAMEPS system at ZAMG, ALADIN-LAEF (Wang *et al.* 2010), was upgraded in July 2013. The generation of Initial perturbations in the ALADIN-LAEF system is implemented as follows: 1) Upper air perturbations to initial conditions are calculated by blending the large scale perturbation generated by the ECMWF, using the Singular Vector method, with the small scale perturbation generated by ALADIN-Breeding; 2) To represent uncertainties in the surface fields a surface assimilation scheme with perturbed observations is implemented. To consider perturbations due to model uncertainties a multi-physics scheme is applied as well.

Currently ALADIN-LAEF is running under the SMS (Supervisor Monitoring Scheduler) environment on high computer facility of ECMWF twice per day (00 and 12UTC), consisting of 16 perturbed members and one control member, on 11 km horizontal resolution, with 45 levels in the vertical. The domain of the perturbed members and the control run covers Europe and a large part of the North Atlantic (Fig. 2). Hourly output of the forecasts is provided and selected data are archived in MARS at ECMWF. Data are also archived in the framework of TIGGE-LAM. ALADIN-LAEF forecasts are operationally distributed to Czech Republic, Slovakia, Slovenia, Romania and Turkey.



Figure 2: ALADIN-LAEF domain and model topography.

4.3.5.2 Research performed in this field

LAMEPS is a major field of research in the NWP group at ZAMG. The focus of research is on system developments for an ALADIN-LAEF upgrade to a resolution of 5km and of a 2.5km AROME-EPS. First studies have been carried out to find optimum setups for stochastic perturbation of physics tendencies both for AROME-EPS and ALADIN-LAEF on 5km resolution.

For the planned upgrade of ALADIN-LAEF to 5km horizontal resolution the implementation of stochastic perturbation of surface fields was investigated. Results showed that the stochastic perturbation of physical tendencies in the model surface add skill to the probabilistic forecasts for near surface parameter. The combination of surface ensemble data assimilation and the perturbation of surface fields during the forecasts lead to a superior performance compared to the operational setup. Further research has been carried out to implement an Ensemble of 3Dvar Assimilation in combination with a spectral blending. An improved multiphysics setup is under investigation that is optimized for the 5km version of ALADIN-LAEF. A number of setups were tested to identify model configurations with similar forecast quality on average and a reasonable Spread.

The focus of research of the NWP group at ZAMG in the area of LAMEPS is on the development of a 2.5km AROME-EPS. This system should consider uncertainties originating from the initial conditions (ensemble data assimilation), from the coupling model (blending of uncertainties from the global ECMWF-EPS) and also uncertainties coming from the model itself. The representation of model error is done by implementing different methods of stochastic physics. The very popular SPPT approach (perturbation of total model tendencies by a stochastic pattern, Palmer et al., 2009) has been successfully implemented and tested. Additionally, an approach where the single partial tendencies of the physics schemes radiation, turbulence, shallow convection and microphysics are perturbed separately has been developed at ZAMG. Verification scores have shown that these methods increase ensemble spread by reducing the RMSE of the system compared to an AROME-EPS without stochastic physics. In case of the pSPPT approach also the stability of the model could be increased which enabled to switch off the tapering function, which has been implemented at the ECMWF to avoid model crashes by reducing perturbations in the lowermost and uppermost part of the atmosphere.



Figure 3: Spread (solid lines) and RMSE (dashed lines) for temperature in July 2016 with different approaches of perturbing model tendencies. The scores are relative to the AROME-EPS without any stochastic physics (0-line):

4.3.5.3 Operationally available EPS Products

The following ALADIN-LAEF products are available at 1h time resolution

- Probability-charts: 2m Temp, 10m Wind, Total Precipitation
- EPS-meteograms

4.4 Nowcasting and Very Short-range Forecasting Systems (0-6 hrs)

- 4.4.1 Nowcasting system
- 4.4.1.1 In operation

The high-resolution analysis and nowcasting system INCA (Integrated Nowcasting through Comprehensive Analysis) developed at ZAMG provides three-dimensional fields of temperature and humidity on an hourly basis, and of wind in 10 min intervals. Two-dimensional fields of precipitation rate, precipitation type, and cloudiness are provided at 5 - 15 minute intervals.

The system is operated with a horizontal resolution of 1 km and a vertical resolution of 100-200 m on the region shown in Fig. 3. INCA combines surface station data, remote sensing data (radar, satellite), forecast fields of the numerical weather prediction model ALADIN, and high-resolution topographic data (Haiden *et al.*, 2011).

INCA Domain & Orography



Figure 3: Austrian domain, topography and the locations of the stations of the nowcasting system INCA.

Table 4: Meteorological fields analyzed and nowcasted in INCA (SFC = surface station data, SAT = satellite data, RAD = radar data)

| Nowcasting field | Required observations | Update |
|---------------------------|--|----------|
| Temperature | SFC: 2m temperature SAT: MSG cloud types (optional) | 1 hour |
| Humidity | SFC: 2m humidity | 1 hour |
| Wind | SFC: 10m wind and gusts | 10 min |
| Precipitation | SFC: precipitation RAD: radar precipitation | 5-15 min |
| Precipitation type | Derived from precipitation and temperature nowcast | 15 min |
| Cloudiness | SAT: MSG cloud types SFC: sunshine% (optional) | 15 min |
| Global radiation | SAT: MSG cloud types SFC: global radiation | 1 hour |
| Convective diagnostics | Derived from temperature, humidity and wind nowcast | 1 hour |

The most important applications of INCA products are flood prediction and warning (Komma *et al.*, 2007), road weather prediction, and predictions for the energy sector. Verification shows that the average performance of INCA as measured by MAE significantly exceeds that of NWP models (ALADIN, ECMWF) during the first 2-3 hours of the forecast in the case of precipitation (not shown), and even further in the case of temperature (Fig. 4).

Precipitation nowcasting includes a parameterization of elevation effects on the precipitation distribution in mountainous terrain as for the short durations typical in nowcasting (15 min), the intensity-dependence of the elevation effect has to be taken into account (Haiden and Pistotnik, 2009).



INCA Verification tl Startdate: 20140207 Enddate: 20170905

Figure 4: Mean absolute error and bias of the INCA temperature forecast for all Austrian stations as a function of lead time for the period 07-02-2014 to 05-09-2017 (in red). Also shown, for comparison, corresponding values for the ALARO model (blue) and persistency (green)

4.4.1.2 Research performed in this field

The INCA system has been further refined with respect to the model background. Operationally, the ALARO model (on 5km horizontal resolution) is used, and it has been tested if a convection resolving model provides improved model backgrounds. Generally, the higher the NWP skill the higher is the analysis and nowcasting skill. However, the double penalty effect which becomes more evident on higher resolution potentially introduces problems which have to be solved adequately before an operational implementation. There were also developments in the improvement of data filter algorithms, uncertainty estimation of analysis and nowcasts, especially for convective precipitation.

4.4.2 Models for Very Short-range Forecasting Systems

4.4.2.1 In operation

The INCA system smoothly combines the nowcast with classical NWP forecasts using a pre-defined weighting function. For precipitation, this weighting function gives full weight to the nowcast for the first hour, and decreases linearly between +1 and +4 hours.

4.4.2.2 Research performed in this field

4.5 Specialized numerical predictions

4.6 Extended range forecasts (ERF) (10 days to 30 days)

4.6.1 Models

4.6.1.1 In operation

Locally none (ECMWF products are used).

Extended range forecast products are generated with special respect to Austria. 2m temperature, 10m wind speed, precipitation and cloudiness are visualized for major Austrian cities as a function of forecast projection (Fig. 5).



Monthly Forecast: 2m Temperature for 12 UTC Wien, AGL: 20170904 00 UTC

Figure 5: 5%, 25%, 50%, 75% and 95% percentiles of ECMWF 2m temperature as a function of forecast range. Initialization date: 04.09.2017, 00UTC. Forecast for Vienna.

4.6.2 Operationally available NWP model and EPS ERF products

4.7 Long range forecasts (LRF) (30 days up to two years)

4.7.1 In operation

ECMWF's seasonal forecast system 3 is obtained and post-processed to satisfy local requirements. Among others, probabilities for negative, neutral and positive temperature anomalies for the coming season are calculated (see Fig 6).

Monatsmitteltemperatur für Österreich September/Oktober/November



Figure 6: Probability [%] for predicted mean temperature anomalies for the coming season (SON) for Austria: The probabilities for negative (blue box), neutral (green) and positive (red) anomalies are shown. The anomalies have been calculated with respect to a hindcast period from 1981 onwards.

4.7.2 Research performed in this field

4.7.3 Operationally available EPS LRF products

5. Verification of prognostic products

Verification is done for the prognostics products of the operational ALARO, AROME and INCA model and other available models:

- Point forecast vs. synop: 2m temperature, 2m relative humidity, 10m wind speed, 10m wind direction, 10m gust speed, mean sea level pressure, total cloudiness, global radiation
- Precipitation forecast: Verification is done on rectangular domains using INCA precipitation analysis as a reference. Beside traditional grid-point and areal mean scores (POD, FAR, HSS, etc) the object based method SAL is used.
- Cloud cover: Similar to what is done for precipitation, SAL is used to evaluate the cloud cover forecast for different models. INCA cloud cover analysis is used as observation (combination of ground radiation measurements and satellite products)
- Free atmosphere: Verification of model fields (temperature, geopotential, wind speed, relative humidity) on several standard pressure heights is done against the models own analysis and alternatively radiosoundings.
- Long term scores: ZAMG NWP Index (see Fig. 7) is used to monitor the long term evolution of the forecast quality. The index represents a weighted combination of MAE, RMSE and ETS for different forecast parameters (2m temperature, 2m relative humidity, 10m wind speed and direction, mean sea level pressure, precipitation, global radiation)



Figure 7: Running average for mean (blue), 90% percentile (green) and 10% percentile (red) for ZAMG NWP Index from 2005 to 2016. Higher values indicate increase of performance.

6. Plans for the future (next 4 years)

6.1 Development of the GDPFS

6.2 Planned research Activities in NWP, Nowcasting and Long-range Forecasting

6.2.1 Planned Research Activities in NWP

- Stochastic physics AROME EPS: Development of a parameter/process based stochastic physics scheme acting on key parameters in microphysics, turbulence, radiation, shallow convection, etc.
- Radar assimilation: Continuation of work towards an operational implementation of radar data (reflectivity and Doppler winds) in the AROME system and combine it with latent heat nudging using INCA gridded precipitation analysis
- Increase of assimilated radar stations by exploitation of OPERA data
- Gathering experience using a very fine resolution version of AROME (approx. 500 1000m grid resolution)
- Continuation of development of AROME nowcasting system
- Implementation of an convection resolving EPS system (based on AROME)

6.2.2 Planned Research Activities in Nowcasting

• The Ensemble INCA system will be refined by including uncertainty information from AROME-EPS

- A seamless probabilistic system will be developed, integrating nowcasting and calibrated NWP models in an optimized, blended way.
- New, non-conventional observation data will be exploited in the future ("crowd-sensing")
- Future vision: Smart combination of NWP, observation and artificial intelligence

6.2.3 Planned Research Activities in Long-range Forecasting

7. References

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